

STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: Xiaojun Cao, Chunming Qiao, Vishal Anand and Yizhi XiongApplication No./Patent No.: 7,162,632 Filed/Issue Date: January 9, 2007Entitled: EFFICIENT OPTICAL NETWORK DESIGN USING MULTI-GRANULAR OPTICAL CROSS-CONNECTS WITH WAVELENGTH BAND-SWITCHINGResearch Foundation of State University of New York private non-profit education corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest
(The extent (by percentage) of its ownership interest is _____ %)

In the patent application/patent identified above by virtue of either:

A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

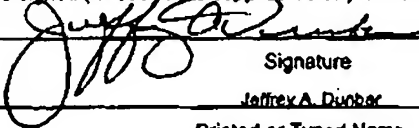
1. From: Xiaojun Cao et al. To: Research Foundation of State University of New York
The document was recorded in the United States Patent and Trademark Office at
Reel 020325 Frame 0370 or for which a copy thereof is attached.
2. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____ Frame _____ or for which a copy thereof is attached.
3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____ Frame _____ or for which a copy thereof is attached.

☒ Additional documents in the chain of title are listed on a supplemental sheet.

☒ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.


Signature
Jeffrey A. Dunbar
Printed or Typed Name

April 30, 2008
Date
718-645-8134
Telephone Number

Director
Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. (22 and 37 CFR 1.11 and 1.14). This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



United States Patent and Trademark Office

[Home](#) | [Site Index](#) | [Search](#) | [Guides](#) | [Contacts](#) | [eBusiness](#) | [eBiz alerts](#) | [News](#) | [Help](#)

Assignments on the Web > Patent Query

Patent Assignment Abstract of Title

NOTE: Results display only for issued patents and published applications. For pending or abandoned applications please consult USPTO staff.

Total Assignments: 2

Patent #: 7162632 Issue Dt: 01/09/2007 Application #: 10665535 Filing Dt: 09/19/2003
Publication #: 20040153492 Pub Dt: 08/05/2004
Inventors: Xiaojun Cao, Chunming Qiao, Vishal Anand, Yizhi Xiong
Title: EFFICIENT OPTICAL NETWORK DESIGN USING MULTI-GRANULAR OPTICAL CROSS-CONNECTS WITH WAVELENGTH BAND SWITCHING

Assignment: 1

Reel/Frame: 020325/0320 Recorded: 01/07/2008 Pages: 6

Conveyance: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

Assignors: CAO, XIAOJUN	Exec Dt: 12/07/2007
QIAO, CHUNMING	Exec Dt: 12/07/2007
ANAND, VISHAL	Exec Dt: 12/10/2007
XIONG, YIZHI	Exec Dt: 12/07/2007

Assignee: RESEARCH FOUNDATION OF STATE UNIVERSITY OF NEW YORK, THE
1576 SWEET HOME ROAD, UB TECHNOLOGY INCUBATOR, SUITE 111
STOR INTELLECTUAL PROPERTY DIVISION
AMHERST, NEW YORK 14228

Correspondent: C. PAUL MALISZEWSKI
SIMPSON & SIMPSON, PLLC
5555 MAIN STREET
WILLIAMSVILLE, NY 14221-5406

Assignment: 2

Reel/Frame: 020532/0310 Recorded: 02/19/2008 Pages: 13

Conveyance: SUPPLEMENTAL CONFIRMATORY ASSIGNMENT

Assignors: CAO, XIAOJUN	Exec Dt: 02/05/2008
QIAO, CHUNMING	Exec Dt: 02/15/2008
ANAND, VISHAL	Exec Dt: 01/07/2008
XIONG, YIZHI	Exec Dt: 02/07/2008

Assignee: RESEARCH FOUNDATION OF STATE UNIVERSITY OF NEW YORK, THE
1576 SWEET HOME ROAD
STOR INTELLECTUAL PROPERTY DIVISION, UB TECHNOLOGY INCUBATOR, SUITE 111
AMHERST, NEW YORK 14228

Correspondent: C. PAUL MALISZEWSKI
SIMPSON & SIMPSON, PLLC
5555 MAIN STREET
WILLIAMSVILLE, NY 14221

Search Results as of: 04/29/2008 12:26 PM
If you have any comments or questions concerning the data displayed, contact PRD / Assignments at 571-272-3350, v.2.0.1
Web Interface last modified: April 20, 2007 v.2.0.1

[HOME](#) | [INDEX](#) | [SEARCH](#) | [eBUSINESS](#) | [CONTACT US](#) | [PRIVACY STATEMENT](#)